

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/604,943	08/28/2003	Itzhak Bentwich	050992.0300.07USCP	1942
37808 7590 11/20/2007 ROSETTA-GENOMICS			EXAMINER	
c/o PSWS			SHIN, DANA H	
700 W. 47TH STREET			ART UNIT	PAPER NUMBER
SUITE 1000 KANSAS CITY, MO 64112		19	1635	
		•		•
		•	MAIL DATE	DELIVERY MODE
			11/20/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	A   P   A   N	A II A \				
	Application No.	Applicant(s)				
	10/604,943	BENTWICH, ITZHAK				
Office Action Summary	Examiner	Art Unit				
	Dana Shin	1635				
The MAILING DATE of this communication apperiod for Reply	pears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPL WHICHEVER IS LONGER, FROM THE MAILING D  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period  - Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 136(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from a, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1) Responsive to communication(s) filed on 01 C	October 2007.					
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This	This action is <b>FINAL</b> . 2b)⊠ This action is non-final.					
3) Since this application is in condition for allowa	☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) Claim(s) 21,23-25,27,28,35 and 36 is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6) Claim(s) 21,23-25,27,28,35 and 36 is/are rejection	cted.					
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/o	or election requirement.					
Application Papers						
9) ☐ The specification is objected to by the Examine	er.					
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the E	xaminer. Note the attached Office	Action or form PTO-152.				
Priority under 35 U.S.C. § 119		•				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1)	4) 🔲 Interview Summary					
Notice of Draftsperson's Patent Drawing Review (PTO-948)     Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:					

Application/Control Number: 10/604,943

Art Unit: 1635

#### **DETAILED ACTION**

## Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on October 1, 2007 has been entered.

## Status of Claims

Claims 21, 23-25, 27-28, and 35-36 are currently pending and under examination on the merits.

#### Priority

The later-filed application must be an application for a patent for an invention which is also disclosed in the prior application (the parent or original nonprovisional application or provisional application). The disclosure of the invention in the parent application and in the later-filed application must be sufficient to comply with the requirements of the first paragraph of 35 U.S.C. 112. See *Transco Products, Inc. v. Performance Contracting, Inc.*, 38 F.3d 551, 32 USPQ2d 1077 (Fed. Cir. 1994).

The disclosure of the prior-filed application, Application No. 60/441,241, fails to provide adequate support or enablement in the manner provided by the first paragraph of 35 U.S.C. 112 for one or more claims of this application, because the instantly claimed SEQ ID NOs:128, 131,

Art Unit: 1635

133, 477, 480, 482 were not disclosed. It is noted that applicant has previously asserted that SEQ ID NO:3760 was properly disclosed in the disclosure of 60/441,241. See applicant's remarks filed on May 9, 2007. Since the instantly claimed nucleic acid sequences are not SEQ ID. NO:3760, applicant's previous argument with regard to SEQ ID NO:3760 is moot in the instant case. In view of the foregoing, the benefit of the priority to Application No. 60/441,241 is denied and the instant filing date, August 28, 2003, will be the effective filing date for the instant case.

If applicant believes that the instantly claimed SEQ ID NOs:128, 131, 133, 477, 480, 482 are adequately described and supported in the provisional application in the manner provided by the first paragraph of 35 U.S.C. 112, applicant is advised to point out the particulars in response to this Office action.

## Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 21, 23-25, 27-28, and 35-36 are rejected under 35 U.S.C. 101 because the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility.

The specification expressly teaches that the novel genes are micro RNA-like regulatory genes, which modulate expression of known host target. See paragraph 0063. The specification merely indicates that the claimed "isolated nucleic acid" may prove useful without identifying with specificity why it is considered useful. See paragraph 0010, which states, "the present

Art Unit: 1635

invention seeks to provide improved method and system for detection and prevention of viral disease, which is mediated by this group of novel viral genes." See paragraphs 0138-0142, which describe that the genes of the present invention have a specific, substantial, and credible utility because the detection or therapeutic application of viral miRNAs in clinical scenarios is associated with such utility and because miRNAs modulate expression of disease related target genes. It is known in the art that miRNAs are able to modulate expression of their target genes, as evidenced by the disclosure of the specification. See page 0071. As such, any miRNA has the potential to perform any one of the alleged uses and that nothing about applicant's alleged "uses" distinguish the claim miRNAs from any miRNA known in the art. Applicant's attention is directed to an analogous case law, In re Fisher, 421 F.3d at 1374, 76 USPQ2d at 1232, wherein it was found that any EST has the potential to perform any one of the alleged uses and that nothing about applicant's alleged uses distinguish the claimed ESTs from any EST known in the art. The court therefore ruled that the claimed ESTs lack a specific utility: "Accordingly, we conclude that applicant has only disclosed general uses for its claimed ESTs, not specific ones that satisfy §101."

Furthermore, the instantly claimed miRNA precursors of SEQ ID NOs:128, 131, and 133 or miRNAs of SEQ ID NOs:477, 480, and 482 are not identified with any target gene or associated with any biological function or any disease. That is, there is no structure/function correlation shown for any one of claimed SEQ ID NOs in the application as filed. Note that applicant stated that the present invention as a substantial utility because the claimed nucleic acid modulates expression of disease related target genes. See paragraphs 0138-0142 in the specification. Since nothing about the claimed nucleic acid sequences is known in the art or taught by the inventor or disclosed in the instant application, except that they *could* be viral

Application/Control Number: 10/604,943 Page 5

Art Unit: 1635

microRNAs or RNA-like molecules based on the bioinformatics data, no one skilled in the art would know where to apply or how to use the claimed nucleic acid molecules. As such, the claimed miRNAs or miRNA-like molecules were not understood to the point of providing an immediate, well-defined, real world use to the public. Note that the claimed ESTs in *In re Fisher* were only tools to be used along the way in the "search for a practical utility" but not to be an end of applicant's research effort, because the function of the protein-encoding genes were not identified. Thus, the court ruled that the claimed ESTs lack a substantial utility: "we hold that the claimed ESTs have not been researched and understood to the point of providing an immediate, well-defined, real world benefit to the public meriting the grant of a patent." *Id.* At 1376, 76 USPQ2d at 1233-34.

Since a person of ordinary skill would not immediately recognize a specific and substantial utility for the claimed invention (i.e., why it would be useful) based on the characteristics of the invention, the claimed invention is rejected for lacking a specific and substantial utility.

Applicant must reply by indicating why the invention is believed useful and where support for any subsequently asserted utility can be found in the specification as filed. See MPEP \$2701.

# Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Application/Control Number: 10/604,943

Art Unit: 1635

Claims 21, 23-25, 27-28, and 35-36 are also rejected under 35 U.S.C. 112, first paragraph. Specifically, since the claimed invention is not supported by either a specific and substantial asserted utility or a well established utility for the reasons set forth above, one skilled in the art clearly would not know how to use the claimed invention. See pages 3-5 above.

Claims 21, 23-25, 27-28, and 35-36 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

In the instant case, the independent claim, claim 21 is amended to recite a new limitation of "at least 83.3%" sequence identity level. Applicant has pointed out a passage allegedly in support of the newly introduced structural limitation of the claimed nucleic acid. However, neither the paragraph 0014 nor Table 2 adequately describes the sequence identity level of "at least 83.3%". Nowhere in the passage is there an indication or suggestion that applicant contemplated making a nucleic acid having at least 83.3% sequence identity. Furthermore, the claimed nucleic acid is amended to have a different parameter of length limitation by reciting "20 to 120". Although the specification adequately describes 50-120 wherein the subsequence is 18-24 in length, the nucleic acid consisting of 20-120 is not adequately described in the specification as filed. In addition, claims 24 and 28 are amended to specifically recite "20 to 24" length limitation. As stated above, the specification teaches only "18-24" length limitation. Since applicant has not pointed out where amended claim is supported in actuality, nor does there

Application/Control Number: 10/604,943 Page 7

Art Unit: 1635

appear to be a written description of the claim limitations "20 to 120" and "20 to 24" in the

application as filed. Accordingly, the specification does not convey with reasonable clarity to

those skilled in the art that, as of the filing date sought, applicant was in possession of the

invention as now claimed.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an

international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United

States and was published under Article 21(2) of such treaty in the English language.

Claims 21 and 25 are rejected under 35 U.S.C. 102(e) as being anticipated by Moyer et

al. (WO 2005/001128 A2).

The claims are drawn to an isolated nucleic acid consisting of 26 nucleotides wherein the

sequence of the nucleic acid comprises 26 consecutive nucleotides of SEQ ID NO:131.

Moyer et al. teach an isolated nucleic acid of SEQ ID NO:31 comprising 26 consecutive

nucleotides that align with nucleotides 22-47 of SEQ ID NO:131 of the instant application. See

the sequence alignment shown below:

QУ 22 GGCATAATCCGGATGTTGTGTAGTAC 47

Db 1 GGCATAATCCGGATGTTGTGTAGTAC 26

Accordingly, all structural limitations set forth in the claims are taught by Moyer et al.

Art Unit: 1635

Conclusion

No claim is allowed.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Dana Shin whose telephone number is 571-272-8008. The

examiner can normally be reached on Monday through Friday, from 8am-4:30pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, James Douglas Schultz can be reached on 571-272-0763. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would

like assistance from a USPTO Customer Service Representative or access to the automated

information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Dana Shin

Examiner

Art Unit 1635

/J. E. Angell/ Primary Examiner Art Unit 1635